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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid QMB control number. Docket Number (Optional) PRE-APPEAL BRIEF REQUEST FOR REVIEW 60.469-037; OT-4812 **Application Number** Filed **CERTIFICATE OF FACSIMILE** I hereby certify that this Pre-Appeal Brief Request For Review and 09/921,803 Notice of Appeal are being facelmile transmitted to (571) 273-8300. 08/03/2001 May 15, 2006 First Named Inventor O'Donnell, Hugh James Art Unit Typed or printed Theresa M. Palmateer Matecki, Katherine A. 3654 Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided. I am the applicant/inventor. gnature assignee of record of the entire interest. Gaskev David J. See 37 CFR 3.71, Statement under 37 CFR 3.73(b) is enclosed. Typed or printed name (Form PTO/SB/96) (248) 988-8360 attorney or agent of record. 37,139 Registration number Telephone number attorney or agent acting under 37 CFR 1.34. May 15, 2006 Registration number if acting under 37 CFR 1.34 Date NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below. Total of 1 forms are submitted.

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CERTIFICATE OF FACSIMILE

I hereby certify that this Notice of Appeal relative to Application Serial No. 09/921,803 is being facsimile transmitted to the Patent and Trademark Office (Fax Ng. 671) 273-8300) on Mpy 15, 2006.

Theresa M. Palmateer

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 1 5 2006

In re application:

O'Donnell, et al.

Serial No.:

09/921,803

Filed:

08/03/2001

Group Art Unit:

3654

Examiner:

Matecki, Katherine A.

For:

ELEVATOR BELT ASSEMBLY WITH WAXLESS COATING

PRE-APPEAL BRIEF REQUEST FOR REVIEW

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

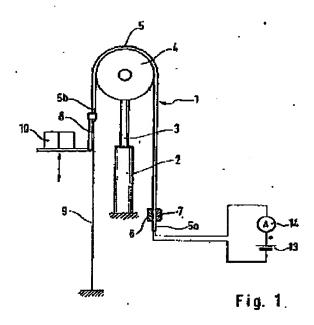
Dear Sir:

There is no *prima facie* case of obviousness against any of Applicant's claims for two independently dispositive reasons. The rejection is based upon the proposed combination of DE 3934654 ("the German reference") and US Pat. No. 3,274,322 ("the Scudder reference").

One reason why there is no prima facie case of obviousness is that the Scudder reference does not teach what the Examiner contends; it does not teach a waxless polyurethane. Therefore, the result of the proposed combination is not what the examiner contends and would not be the same as Applicant's invention.

A second and independently dispositive reason why there is no prima facie case is that the required motivation for combining references is missing. Where there is no benefit to a proposed combination, there is no motivation for making it and no prima facie case of obviousness. There is no benefit to the arrangement of the German reference by incorporating the teachings of the Scudder reference. Therefore, the proposed combination cannot be made.

The German reference discloses a "plastic" belt body with exposed strands at the ends of the belt for establishing electrical connections between the strands. The lifting device of the German reference includes a piston 2, 3 that moves a load 10 vertically by extending or retracting the piston beneath the belt 5. It is important to note that such a lifting arrangement does not rely upon traction between the belt 5 and the pulley 4 to achieve the desired lifting effect.



The Scudder reference teaches a method of forming polyurethane coatings that includes interacting with an uncured polyurethane using a moving surface such as a roller 16. The Scudder reference includes applying "liberally, a lubricating barrier in the form of a layer of oily substance, or a thick film, over the layer of polyurethane prior to its being engaged by the second moving surface" such as the roller 16 (Column 1, lines 41-44; Column 2, lines 40-52).

Sept. 20, 1966

J. A. SCUDDER

3,274,322

ARTES OF FLUX FORKERS FILL ASSUMED AND LIKE MATERIAL

FILED Nation 3, 1966

ARTES OF FLUX FORKERS FILE ASSUMED AND LIKE MATERIAL

FILED Nation 3, 1966

Applicant disagrees with the examiner's position that the Scudder reference teaches a "waxless" polyurethane. To the extent that the Examiner is relying upon the statements regarding the "sticky" polyurethane in the Scudder reference, those are referring to the material before it is fully cured when the material has not yet hardened. That is not a teaching of a polyurethane without wax. Concluding that the Scudder reference teaches a waxless polyurethane is not a reasonable interpretation of the reference.

<u>, ,,,</u>

Even if the improper combination were made (e.g., somehow there were some motivation from the references and not from hindsight reasoning based on Applicant's disclosure), the result would not be the same as Applicant's claimed invention. Neither reference teaches a waxless polyurethane. The Examiner's conclusion regarding the Scudder reference is incorrect. In column 1, the Scudder reference clearly teaches that the semi-plastic state of the polyurethane is what makes it "sticky." That does not in any way suggest that the polyurethane does not contain a wax. Therefore, it cannot "be reasonably interpreted that the polyurethane in Scudder does not include wax." The addition of an oily substance in the Scudder reference does not suggest that the polyurethane itself does not include wax and stretching the teachings of the reference in that way is not reasonable. There is no prima facie case of obviousness.

Assuming for the moment that Scudder does disclose a waxless polyurethane (which Applicant denies), there is no motivation for making the proposed combination of the

German reference and the Scudder reference. The polyurethane forming technique of the Scudder reference provides no benefit whatsoever in the German reference. That technique will not in any way enhance the ability to make the electrical connections taught in the German reference nor will it in any way make the lifting arrangement of the German reference any different. That lifting arrangement does not present any traction considerations for which a waxless polyurethane might prove useful, for example. No particular traction is required between the "deflection roll 4" and the belt 5 for the arrangement to work as intended.

In other words, adding a waxless polyurethane to the German reference provides no benefit. Where there is no benefit to a proposed combination, there is no motivation for making it and no *prima facie* case of obviousness.

Therefore, even if the Scudder reference teaches everything the Examiner contends, there is no benefit for making the combination, the proposed combination cannot be made and there is no *prima facie* case of obviousness.

Respectfully submitted,

CARLSON, GASKEY & OLDS

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Dated: May 15, 2006

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Theresa M. Palmateer